

Squaxin Island Gaming Commission Tribal Gaming Agency

Gaming Vendor Company License Application

Dear Vendor:

The Squaxin Island Tribal Gaming Commission (SIGC) is the regulatory body established by the Squaxin Island Tribe to enforce all Tribal, Federal and State Regulations and Internal Controls relating to activities conducted at the Tribe's Gaming Enterprises. Pursuant to the Squaxin Island Tribes Gaming Ordinance, Squaxin Island Gaming Commission Regulations and the Tribal State Compact Regulations; the Squaxin Island Gaming Agency (SIGA) is required to investigate and license vendors who provide services or supplies to the Little Creek Casino Resort.

The purpose of the SIGA is to protect the Tribe, employees, patrons, and the public by ensuring that all activities at the Little Creek Casino Resort are free from criminal activities and elements. It is the Commission's obligation to preserve the honesty, fairness, and integrity of all activities associated with the Little Creek Casino Resort. We have determined that you and/or your company meet certain qualifying criteria.

Now that your company will be conducting business with the Little Creek Casino Resort a fee schedule is attached for your reference. Each company and their servicing employee(s) are required to maintain a license issued by the SIGA.

During the license process, we will conduct a background investigation. This may include checking criminal history, financial status, and other pertinent information pertaining to protecting the industry and our assets.

All requested information along with applicable fees must be submitted via mail, email or in person to:

Squaxin Island Gaming Commission
Attention: Licensing Department
95 W. State Route 108
Shelton, WA 98584
Licensing@sigc.us

Failure to comply with the Squaxin Island Gaming Commission's licensing requirements may prevent your company from performing any work or providing goods to the Little Creek Casino Resort.

We appreciate your cooperation and timely response. If you have any questions, please contact the Licensing Department at (360) 432-7203 or (360) 432-7207.

Incomplete applications are not accepted. Applications must be completed in black or blue ink.

- 1. When completing the application, clearly type or print an answer to every question. If a question does not apply to you, indicate so with an "N/A".**

If you are unsure whether a question applies to you or what information the form is asking for you to provide, contact the licensing department to obtain clarification. If the available space is insufficient, continue on a separate sheet and precede each answer with the appropriate section and subsection numbers.

Incomplete information could be deemed as non-disclosure, which could result in the denial of an application.

2. **The following forms must accompany the vendor application, when applicable.**
 - a. Additional pages in order to fully answer questions within the form.
 - b. Copy of current business license.
 - c. Copy of current WSGC certification.
 - d. An individual application for each Corporate Officer, Owner, Partner, on-site representative, and holder of 10% or more shares.
 - e. Any other attachments requested to support the application.
 - f. Appropriate Licensing Fee.

3. **The following forms must accompany the vendor employee application, when applicable:**
 - a. Additional pages in order to fully answer questions within the form.
 - b. Copy of current WSGC certification
 - c. Copy of two forms of ID.
Ex. Valid driver's license, Tribal-issued photo ID, State ID, Court documents for legal name change, permanent resident card, birth certificate, passport, marriage certificate and/or a divorce decree.
 - d. Any other attachments requested to support the application.

4. **When mailing the application.** After verifying that the application is complete, please mail/ email application to the address listed on the cover page.

Incomplete applications. The Background Investigator may request additional application information and/or documentation. You will be notified in writing and given no more than 10 working days to comply. During this time, the licensing process will be suspended. If, after the 10-day time period lapses, the Gaming Commission may review incomplete application and administratively close it. The Little Creek Casino Resort will also be

5. Advised in general terms of the need of additional information and/or documentation. In order to maintain their business production, they may choose not to do business with your company. It is in your best interest to provide as much information and /or documentation as requested within the timelines of the licensing process.

6. **False information or non-disclosure.** As directed by Ordinance and/or Regulations, any misstatements, untrue information or non-disclosure of requested information is cause for your license application to be denied, revoked or suspended at any time. You are advised that it is better to disclose all information than face denial or revocation.

7. Below is the fee schedule which is based on the total dollar amount of business your company conducts with Little Creek Casino Resort. Please, find the applicable standard license fee from the “Gaming Vendor Standard License Fee” schedule below. Once that has been determined, find the fee for all endorsements the company will be adding to the standard license from the “Gaming Vendor Endorsement Fee” schedule below. Each endorsement is a separate fee.



Gaming Vendor Standard License Fee

Gaming Vendor Company <\$25,000/Year	Gaming Vendor Company Renewal <\$25,000/Year	Gaming Vendor Individual <\$25,000/Year	Gaming Vendor Individual Renewal <\$25,000/Year
\$250.00	\$100.00	\$50.00	\$50.00

Gaming Vendor Company \$25,000.01- \$50,000/Year	Gaming Vendor Company Renewal \$25,000.01- \$50,000/Year	Gaming Vendor Individual \$25,000.01- \$50,000/Year	Gaming Vendor Individual Renewal \$25,000.01- \$50,000/Year
\$700.00	\$500.00	\$50.00	\$50.00

Gaming Vendor Company \$50,000.01- \$100,000.00/Year	Gaming Vendor Company Renewal \$50,000.01- \$100,000.00/Year	Gaming Vendor Individual \$50,000.01- \$100,000.00/Year	Gaming Vendor Individual Renewal \$50,000.01- \$100,000.00/Year
\$2,500.00	\$1,200.00	\$100.00	\$50.00

Gaming Vendor Company \$100,000.01- \$200,000.00/Year	Gaming Vendor Company Renewal \$100,000.01- \$200,000.00/Year	Gaming Vendor Individual \$100,000.01- \$200,000.00/Year	Gaming Vendor Individual Renewal \$100,000.01- \$200,000.00/Year
\$5,000.00	\$3,000.00	\$150.00	\$100.00

Gaming Vendor Company >\$200,000.01- \$300,000/Year	Gaming Vendor Company Renewal >\$200,000.01- \$300,000/Year	Gaming Vendor Individual >\$200,000.01- \$300,000/Year	Gaming Vendor Individual Renewal >\$200,000.01- \$300,000/Year
\$7,500.00	\$5,000.00	\$150.00	\$100.00

Gaming Vendor Company >\$300,000.01- \$500,000/Year	Gaming Vendor Company Renewal >\$300,000.01- \$500,000/Year	Gaming Vendor Individual >\$300,000.01- \$500,000/Year	Gaming Vendor Individual Renewal >\$300,000.01- \$500,000/Year
\$10,000.00	\$7,500.00	\$200.00	\$100.00

Gaming Vendor Company >\$300,000.01- \$500,000/Year	Gaming Vendor Company Renewal >\$300,000.01- \$500,000/Year	Gaming Vendor Individual >\$300,000.01- \$500,000/Year	Gaming Vendor Individual Renewal >\$300,000.01- \$500,000/Year
\$12,000.00	\$10,000.00	\$200.00	\$100.00

Gaming Vendor Endorsement Fee

TLS Gaming Endorsement Fee <\$25,000/Year	Cards & Dice Endorsement Fee <\$25,000/Year	Table Games Endorsement Fee <\$25,000/Year	Sports Wagering Endorsement Fee <\$25,000/Year
\$25.00	\$25.00	\$25.00	\$25.00

TLS Gaming Endorsement Fee \$25,000.01- \$50,000/Year	Cards & Dice Endorsement Fee \$25,000.01- \$50,000/Year	Table Games Endorsement Fee \$25,000.01- \$50,000/Year	Sports Wagering Endorsement Fee \$25,000.01- \$50,000/Year
\$125.00	\$125.00	\$125.00	\$125.00

TLS Gaming Endorsement Fee \$50,000.01- \$100,000.00/Year	Cards & Dice Endorsement Fee \$50,000.01- \$100,000.00/Year	Table Games Endorsement Fee \$50,000.01- \$100,000.00/Year	Sports Wagering Endorsement Fee \$50,000.01- \$100,000.00/Year
\$300.00	\$300.00	\$300.00	\$300.00

TLS Gaming Endorsement Fee \$100,000.01- \$200,000.00/Year	Cards & Dice Endorsement Fee \$100,000.01- \$200,000.00/Year	Table Games Endorsement Fee \$100,000.01- \$200,000.00/Year	Sports Wagering Endorsement Fee \$100,000.01- \$200,000.00/Year
\$750.00	\$750.00	\$750.00	\$750.00

TLS Gaming Endorsement Fee >\$200,000.01- \$300,000/Year	Cards & Dice Endorsement Fee >\$200,000.01- \$300,000/Year	Table Games Endorsement Fee >\$200,000.01- \$300,000/Year	Sports Wagering Endorsement Fee >\$200,000.01- \$300,000/Year
\$1,250.00	\$1,250.00	\$1,250.00	\$1,250.00

TLS Gaming Endorsement Fee >\$300,000.01- \$500,000/Year	Cards & Dice Endorsement Fee >\$300,000.01- \$500,000/Year	Table Games Endorsement Fee >\$300,000.01- \$500,000/Year	Sports Wagering Endorsement Fee >\$300,000.01- \$500,000/Year
\$1,875.00	\$1,875.00	\$1,875.00	\$1,875.00

TLS Gaming Endorsement Fee >\$500,000.01/Year	Cards & Dice Endorsement Fee >\$500,000.01/Year	Table Games Endorsement Fee >\$500,000.01/Year	Sports Wagering Endorsement Fee >\$500,000.01/Year
\$2,500.00	\$2,500.00	\$2,500.00	\$2,500.00

SECTION I. VENDOR COMPANY INFORMATION

Company Name:	D.B.A.

Goods or Services to be provided:

State Business License Number: (Enclose a copy of the license and return it with the application)

Address:	Washington State Address (if different)

Phone:	Fax:

Contact Person:	E-Mail:

SECTION II. SOLE PROPRIETORS AND PARTNERSHIPS

Owner(s) Full Name:

SECTION III. CORPORATIONS

State and Date of Incorporation:

List Officers Name and Title:

List Stockholders with Substantial Interest (10% or more):

List Board Members:

Any changes in officers or stockholders with substantial interest must be reported to the Squaxin Island Gaming Commission. Have there been any changes in the last year? () Yes () No. If yes, explain:

Do any officers or stockholders listed above have ownership interests in another business that provides goods or services to gaming establishments? () Yes () No. If yes, explain:

If this corporation is a subsidiary of another corporation, list the parent corporation:

SECTION IV. VENDOR EMPLOYEES

Will employees from your company be on site? () Yes () No
 If yes, list all employees and their titles who will be on site at Little Creek Casino Resort.

SECTION V. LICENSE INFORMATION

Is the company certified with Washington State Gambling Commission? () Yes () No
 Provide a copy with the application:

WSGC License #:	Expiration Date:

Have you ever applied for or been granted a license, permit or authorization to be a product provider or service supplier to a Tribal Gaming Facility, Resort or Enterprise? () Yes () No
 If yes, please provide details below:

Date:	Regulatory Agency:	License #:	Granted/Denied:

I declare under penalty of perjury that all the answers and statements are true and complete. I understand that untruthful or misleading answers are cause for denial of this application or revocation of any license granted. I understand the Squaxin Island Gaming Commission will investigate the qualifications of the business to be licensed, and may deny a license if it is deemed to be in the best interest of the Tribe and the public. Based on information provided, additional background investigation may be required.

Signature:	Date:

SECTION VI. ADDITIONAL INFORMATION

Submit an individual application for each Corporate Officer(s), Owner(s), President, Partner(s), on-site representative (Vendor Employee), and holder of 10% or more shares identified in Section II, III & IV.

Submit Articles of Incorporation, LLC, Partnership agreement or other documents, which reflect organizational structure and purpose.

SECTION VII. ACKNOWLEDGEMENTS AND NOTICES

DISCLOSURE AND CONSENT

The undersigned potential vendor of Little Creek Casino Resort, a Squaxin Island Tribe Casino hereby authorizes the Squaxin Island Gaming Commission to obtain consumer credit report on the business entity for which the application is being submitted, for eligibility and suitability determination purposes in the granting of a Vendor License both at the present time and at any time during the licensee’s relationship with the Casino. The provisions of the Fair Credit Reporting Act will be applicable if a consumer report on the licensee is obtained and considered.

I have received a copy of “A Summary of Your Rights Under the Fair Credit Reporting Act.”

Signature:	Date:

APPLICABLE LAW

I agree to submit to tribal Licensing to the extent necessary to determine qualifications to hold any and all necessary licenses, including all administrative procedures, hearings and appeals pursuant to the Squaxin Island Tribal-State Compact, the Squaxin Island Gaming Ordinances, and SIGC Regulations. I further waive any immunity, defense, or other objection that I might

have allowing the Squaxin Island Gaming Commission to exercise their authority in regards to all licensing matters.

Signature:	Date:

PRIVACY NOTICE

In compliance with the Privacy Act of 1974, the following information is provided: Solicitation of the information on this form is authorized by 25 U.S.C. 2701 et seq. The purpose of the requested information is to determine the eligibility of individuals to be granted a gaming license. The information will be used by the Tribal gaming regulatory authorities and by the National Indian Gaming Commission (NIGC) members and staff who have need for the information in the performance of their official duties. The information may be disclosed by the Tribe or the NIGC to appropriate Federal, Tribal, State, local, or foreign law enforcement and regulatory agencies when relevant to civil, criminal or regulatory investigations or prosecutions or when pursuant to a requirement by a tribe or the NIGC in connection with the issuance, denial, or revocation of a gaming license, or investigations of activities while associated with a tribe or a gaming operation. Failure to consent to the disclosures indicated in this notice will result in a tribe's being unable to license you for a primary management official or key employee position.

The disclosure of your Social Security Number (SSN) is voluntary. However, failure to supply a SSN may result in errors in processing your application.
Notice regarding false statements.

A false statement on any part of your license application may be grounds for denying a license or the suspension or revocation of a license. Also, you may be punished by fine or imprisonment (U.S. Code, title 18, section 1001).

Signature:	Date:

CONSENT TO ELECTRONIC SIGNATURES

I agree that this Application and any other documents to be delivered in connection herewith may be transmitted, signed, and recorded and that any electronic signatures appearing in connection with this Application or such other documents are the same as handwritten signatures for the purposes of validity, enforceability, and admissibility. I further agree that any disclosures made in connection with this Application, including "A Summary of Your Rights Under the Fair Credit Reporting Act" may be provided electronically, and that I could, at my option, receive and complete this application in physical form at the Tribal Agency location.

Print Name:	Signature:	Date:

RELEASE OF INFORMATION AUTHORIZATION

_____ referred to herein as vendor authorize any investigator, special agent, or other representative of the United States Department of the Interior, the Federal Bureau of investigation, or any tribal, state or local law enforcement or investigatory agency, in order to determine Vendor suitability for involvement in Indian gaming, to obtain any information requested related to Vendor activities from any source including governments, criminal justice agencies, regulatory agencies, financial or lending institutions, agents, employees, businesses, , property records, and any other source whatsoever. This information includes, but is not limited to, any record of proposed, alleged or actual criminal history, proposed, alleged or actual administrative sanctions, fines, or penalties, tax records, financial records, business records, and any other information obtained within the scope of this authorization, whether or not such information would otherwise be protected from disclosure by any constitutional, statutory, or common law privilege.

Vendor authorizes custodians and sources of such records and information to release such information and records, including permitting the review and copying of any and all documents, records or correspondence pertaining to Vendor, upon request of the representative of the agencies listed above, regardless of any previous agreement to the contrary.

Vendor and its administrators, successors and assigns, hereby release, remise, and forever discharge any person to whom this request is presented and his agents and employees from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims, and demands whatsoever, known or unknown, in law or equity, which Vendor has ever had, now has, may have, or may claim to have against such person or his agents or employees arising out of or by reason of complying with this request.

Vendor agrees to accept any risk of adverse public notice, embarrassment, criticism or financial loss that may result from use of information that is obtained in connection with a background investigation for the purpose listed in this document.

Vendor agrees to indemnify and hold harmless any person to whom this request is lawfully presented and its agents and employees from and against all claims, damages, losses, and expenses, including reasonable attorney's fees, arising out of or by reason of complying with this request.

Vendor understands that the information released by records custodians and other sources of information is required for a background investigation to process vendor license application for providing goods or services to the gaming operation.

I hereby certify that I am an owner, officer or representative of the Vendor and am authorized to execute this Release of Information Authorization on behalf of the of the above named Vendor.

I, _____ have read this release and understand all of its terms. I execute it voluntarily and with full knowledge of its significance.

A reproduction of this authorization is the same as the original.

Signature:	Date:

AUTHORIZATION TO RELEASE INFORMATION

_____ referred to herein as vendor hereby authorizes SIGC to release to the National Indian Gaming Commission (NIGC) and/or Little Creek Casino Resort as applicable, any information in order to determine Vendor's suitability for involvement in Indian gaming.

This document authorizes the release of the requested information whether or not such information would otherwise be protected from disclosure by a constitutional, statutory, or common law privilege.

Vendor agrees to accept any risk of adverse public notice, embarrassment, criticism or financial loss that may result from use of information that is obtained in connection with a background investigation for the purpose listed in the first paragraph of this document.

Vendor authorizes the release of any information that is obtained in connection with a background investigation for the purpose listed in the first paragraph of this document.

Vendor authorizes the release of any information related to its activities including but not limited to: any record of proposed, alleged or actual criminal history, proposed, alleged or actual administrative sanctions, fines, or penalties, tax records, financial records, business records, and any other information obtained within the scope of this authorization.

Vendor authorizes review and copying of all documents.

Vendor relinquishes any right that the Vendor may otherwise have to pursue a cause of action against any person (or his or her agent) to whom this request is presented when such cause of action arises out of a response to a request for information pursuant to the Indian Gaming Regulatory Act of 1988 (25 U.S.C. & 2701 et seq.) Vendor further agrees to indemnify and hold harmless any person to whom this request is lawfully presented. Such indemnification and holding harmless includes all claims, damages, losses and expenses, including reasonable attorney's fees.

A reproduction of this authorization is the same as the original.

Signature:	Date:

Para informacion en espanol, visite www.ftc.gov/credit o escribe a la FTC Consumer Response Center, Room 130-A 600 Pennsylvania Ave. N.W., Washington, D.C. 20580.

A Summary of Your Rights Under the Fair Credit Reporting Act

The federal Fair Credit Reporting Act (FCRA) promotes the accuracy, fairness, and privacy of information in the files of consumer reporting agencies. There are many types of consumer reporting agencies, including credit bureaus and specialty agencies (such as agencies that sell information about check writing histories, medical records, and rental history records). Here is a summary of your major rights under the FCRA. **For more information, including information about additional rights, go to www.ftc.gov/credit or write to: Consumer Response Center, Room 130-A, Federal Trade Commission, 600 Pennsylvania Ave. N.W., Washington, D.C. 20580.**

- **You must be told if information in your file has been used against you.** Anyone who uses a credit report or another type of consumer report to deny your application for credit, insurance, or employment – or to take another adverse action against you – must tell you, and must give you the name, address, and phone number of the agency that provided the information.
- **You have the right to know what is in your file.** You may request and obtain all the information about you in the files of a consumer reporting agency (your “file disclosure”). You will be required to provide proper identification, which may include your Social Security number. In many cases, the disclosure will be free. You are entitled to a free file disclosure if:
 - a person has taken adverse action against you because of information in your credit report;
 - you are the victim of identity theft and place a fraud alert in your file;
 - your file contains inaccurate information as a result of fraud;
 - you are on public assistance;
 - you are unemployed but expect to apply for employment within 60 days.In addition, by September 2005 all consumers will be entitled to one free disclosure every 12 months upon request from each nationwide credit bureau and from nationwide specialty consumer reporting agencies. See www.ftc.gov/credit for additional information.
- **You have the right to ask for a credit score.** Credit scores are numerical summaries of your credit-worthiness based on information from credit bureaus. You may request a credit score from consumer reporting agencies that create scores or distribute scores used in residential real property loans, but you will have to pay for it. In some mortgage transactions, you will receive credit score information for free from the mortgage lender.
- **You have the right to dispute incomplete or inaccurate information.** If you identify information in your file that is incomplete or inaccurate, and report it to the consumer reporting agency, the agency must investigate unless your dispute is frivolous. See

***** APPLICANT TO KEEP FOR RECORDS – DO NOT SUBMIT WITH APPLICATION *****

www.ftc.gov/credit for an explanation of dispute procedures.

- **Consumer reporting agencies must correct or delete inaccurate, incomplete, or unverifiable information.** Inaccurate, incomplete or unverifiable information must be removed or corrected, usually within 30 days. However, a consumer reporting agency may continue to report information it has verified as accurate.
- **Consumer reporting agencies may not report outdated negative information.** In most cases, a consumer reporting agency may not report negative information that is more than seven years old, or bankruptcies that are more than 10 years old.
- **Access to your file is limited.** A consumer reporting agency may provide information about you only to people with a valid need -- usually to consider an application with a creditor, insurer, employer, landlord, or other business. The FCRA specifies those with a valid need for access.
- **You must give your consent for reports to be provided to employers.** A consumer reporting agency may not give out information about you to your employer, or a potential employer, without your written consent given to the employer. Written consent generally is not required in the trucking industry. For more information, go to www.ftc.gov/credit.
- **You may limit “prescreened” offers of credit and insurance you get based on information in your credit report.** Unsolicited “prescreened” offers for credit and insurance must include a toll-free phone number you can call if you choose to remove your name and address from the lists these offers are based on. You may opt-out with the nationwide credit bureaus at 1-888-5-OPTOUT (1-888-567-8688).
- **You may seek damages from violators.** If a consumer reporting agency, or, in some cases, a user of consumer reports or a furnisher of information to a consumer reporting agency violates the FCRA, you may be able to sue in state or federal court.
- **Identity theft victims and active duty military personnel have additional rights.** For more information, visit www.ftc.gov/credit.

States may enforce the FCRA, and many states have their own consumer reporting laws. In some cases, you may have more rights under state law. For more information, contact your state or local consumer protection agency or your state Attorney General. Federal enforcers are:

TYPE OF BUSINESS:	CONTACT:
Consumer reporting agencies, creditors and others not listed below	Federal Trade Commission: Consumer Response Center - FCRA Washington, DC 20580 1-877-382-4357
National banks, federal branches/agencies of foreign banks (word "National" or initials "N.A." appear in or after bank's name)	Office of the Comptroller of the Currency Compliance Management, Mail Stop 6-6 Washington, DC 20219 800-613-6743
Federal Reserve System member banks (except national banks, and federal branches/agencies of foreign banks)	Federal Reserve Consumer Help (FRCH) P O Box 1200 Minneapolis, MN 55480 Telephone: 888-851-1920 Website Address: www.federalreserveconsumerhelp.gov Email Address: ConsumerHelp@FederalReserve.gov
Savings associations and federally chartered savings banks (word "Federal" or initials "F.S.B." appear in federal institution's name)	Office of Thrift Supervision Consumer Complaints Washington, DC 20552 800-842-6929

Federal credit unions (words "Federal Credit Union" appear in institution's name)	National Credit Union Administration 1775 Duke Street Alexandria, VA 22314 703-519-4600
State-chartered banks that are not members of the Federal Reserve System	Federal Deposit Insurance Corporation Consumer Response Center, 2345 Grand Avenue, Suite 100 Kansas City, Missouri 64108-2638 1-877-275-3342
Air, surface, or rail common carriers regulated by former Civil Aeronautics Board or Interstate Commerce Commission	Department of Transportation , Office of Financial Management Washington, DC 20590 202-366-1306
Activities subject to the Packers and Stockyards Act, 1921	Department of Agriculture Office of Deputy Administrator – GIPSA Washington, DC 20250 202-720-7051

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